



DT20 Rec'd PCT/PTO 14 JAN 2003

LAW OFFICES

LERNER, DAVID, LITTBENBERG, KRUMHOLZ & MENTLIK, LLP

Receipt
PCT
#9

SIDNEY DAVID
JOSEPH S. LITTBENBERG
ARNOLD H. KRUMHOLZ
WILLIAM L. MENTLIK
JOHN R. NELSON
ROY H. WEPNER
STEPHEN B. GOLDMAN
CHARLES P. KENNEDY
PAUL H. KOCHANSKI
MARCUS J. MILLET
BRUCE H. SALES
ARNOLD B. DOMPIERI
KEITH E. GILMAN
ROBERT B. COHEN
MICHAEL H. TESCHNER
GREGORY S. GEWIRTZ
JONATHAN A. DAVID
SHAWN P. FOLEY*
JEFFREY S. DICKEY
STEPHEN F. ROTH

*NORTH CAROLINA BAR ONLY
*MARYLAND BAR ONLY

600 SOUTH AVENUE WEST
WESTFIELD, NEW JERSEY 07090-1497

(908) 654-5000
FAX: (908) 654-7866
www.ldlkm.com

PATENTS, TRADEMARKS AND COPYRIGHTS

January 9, 2003

THOMAS M. PALISI
KIMBERLY V. PERRY
MICHAEL J. DOHERTY
MATTHEW B. DERNIER
EDWARD D. PERGAMENT
FRANK J. CHESKY III
LANCE Y. LIU
MICHAEL D. BRAUNSTEIN
SCOTT E. CHARNEY
LAWRENCE E. RUSS
APRIL M. MAYO*
KONSTANTIN A. CAPLOON
ORVILLE R. COCKINGS
JOSEPH J. OPALACH
PERRY M. FONSECA

OF COUNSEL
LAWRENCE I. LERNER
DANIEL H. BOBIS
RAYMOND W. AUGUSTIN
HARVEY L. COHEN
GLEN M. DIEHL

PATENT AGENT
ANDREW T. ZIDEL

Commissioner For Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

RECEIVED
FEB 1 2003

Re: Our File: SONYAK 3.3-161
Appln. No. 09/980,952
Filing Date: March 18, 2002
Group Art Unit: 2131

Dear Sir:

We are enclosing herewith copies of the filing receipt and executed Declaration for the above-identified patent application.

Please note that the section entitled "Foreign Applications" is incomplete. Therefore, please insert line 3 to read -JAPAN 2000-317803 10/18/2000--.

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTBENBERG,
KRUMHOLZ & MENTLIK, LLP

ARNOLD H. KRUMHOLZ
Reg. No. 25,428

RECEIVED
FEB 13 2003
Technology Center 2100

AHK:ls
Enclosures
408619_1.DOC



Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/980,952	03/18/2002	2131	1614	SONY JPAK 161	45	39	6



CONFIRMATION NO. 1747

FILING RECEIPT



OC00000009282678

000530
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK
600 SOUTH AVENUE WEST
WESTFIELD, NJ 07090

Date Mailed: 12/24/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ryuji Ishiguro, Tokyo, JAPAN;
Yoshitomo Osawa, Kanagawa, JAPAN;
Tateo Oishi, Saitama, JAPAN;
Tomoyuki Asano, Kanagawa, JAPAN;
Atsushi Mitsuzawa, Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP01/02929 04/04/2001

Foreign Applications

JAPAN 2000-105329 04/06/2000
JAPAN 2000-179692 06/15/2000

Projected Publication Date: 04/03/2003

RECEIVED
FEB 13 2003
Technology Center 2100

Non-Publication Request: No

LDLK&M

DEC 31 2002

Early Publication Request: No

RECEIVED

Title

Information processing system and method

Preliminary Class

380

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).